



FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

ANNUAL REPORT

FY 2022-2023



ACCOUNTABILITY



INTEGRITY



EFFICIENCY

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INTRODUCTION

The Division of Audit (DoA) is an independent and objective assurance and consulting activity that is guided by a philosophy of adding value to improve the operations of the University.

The DoA enhances and protects organizational value by providing risk-based and objective assurance and advice as follows:

- **AUDIT:** Provides independent, objective assurance and advisory activity designed to add value and improve university operations. Audit helps the university accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.
- **RISK MANAGEMENT:** Facilitates the enterprise risk management (ERM) program by creating and maintaining the framework to effectively identify, assess, and manage enterprise risk. The role will not include supervision of the enterprise risk services department that administers the university insurance programs.
- **INVESTIGATIONS:** Investigates allegations of fraud, waste, and abuse. Additionally the Inspector General, reviews statutory whistle-blower information, designates whistleblowers, and coordinate all activities of the university as required by the Florida Whistle-blower's Act.

VISION

We will be championed by our customers, benchmarked by our peers (counterparts), and dedicated to excellence in our products and services.

MISSION

The Division's mission is to enhance and protect the value of FAMU and its stakeholders by providing excellence in risk-based and objective assurance, advice, and insight through the promotion of accountability, integrity, and efficiency.

A WORD FROM THE VICE PRESIDENT

Greetings Rattler Nation,

I am pleased to submit the FAMU Division of Audit Annual Report for the fiscal year ended June 30, 2023.

Our team continues to add value to FAMU and its stakeholders by promoting accountability, integrity, and efficiency through excellence in auditing (assurance), advisory and consulting, investigations, management reviews, technical assistance, and Enterprise Risk Management services.

In addition to our work for FAMU, our staff served our profession in many capacities and assisted our counterparts through significant community outreach. Staff also achieved a number of professional milestones and certifications in related areas.

The Division of Audit team looks forward to continuing our work with FAMU leadership and Board of Trustees members to help the University meet its mission as we march to the Top 100.



JOSEPH K. MALESZEWSKI
VICE PRESIDENT FOR AUDIT / CHIEF AUDIT EXECUTIVE



A WORD FROM THE ASSOCIATE VICE PRESIDENT

Dear FAMUly,

I am delighted to present our Annual Report, showcasing the pivotal role our Audit, Advisory, and Investigation Services have played in shaping a successful year for our university.

Over the past year, our team's meticulous audits, collaborative advisory projects and thorough investigations have yielded invaluable insights that have propelled us towards greater operational efficiency, financial prudence, and enhanced compliance. Through our work, we have not only identified areas for improvement but also capitalized on new avenues for growth.

I want to extend my heartfelt appreciation to our remarkable team members who have shown exceptional dedication and analytical prowess. Their collaborative efforts have set a high standard for our profession and have been instrumental in our achievements. As we embrace the challenges and opportunities ahead, we remain committed to upholding transparency, integrity, and excellence. This Annual Report stands as a testament to our collective accomplishments and our unwavering dedication to our university's mission.

Thank you for your continued support as we look forward to a future filled with possibilities.



DEIDRE MELTON

ASSOCIATE VICE PRESIDENT FOR AUDIT / CHIEF RISK OFFICER



INTERNS

Worked with the Office of Financial Aid to hire two part-time student interns through the Federal Work-Study Program to work with ERM staff.

TRAINING

Staff led a variety of training throughout the year internally to the FAMU community such as the President's Management Seminar, and externally to local and national professional organizations such as the Institute of Internal Auditors' IGNITE Emerging Leaders Conference.

PARTNERSHIPS

We shared and exchanged best practices with our state and university partners through presentations and interactive roundtables, including the Department of Financial Services, Wayne State University, Howard University, the Department of Transportation, and others.

LEADERSHIP

Members of our staff serve as board members of many local and national professional organizations including Association of College and University Auditors, Association of Inspectors General, Institute of Internal Auditors, AGA, and ISACA.

ACCOMPLISHMENTS & HIGHLIGHTS

SERVICES

The Division of Audit completed 3 audits, 4 advisory projects, and 14 investigations in support of the University's mission and business objectives.

STAFF RETREAT

The Division of Audit held its Inaugural Staff Retreat which included activities that focused on accountability, customer service, planning for the upcoming fiscal year, analyzed our areas of strength and opportunity.

PROFESSIONAL DEVELOPMENT

Staff demonstrated their expertise and experience through the attainment of five professional certifications including Certified Inspector General, Certified Internal Auditor, Certified Inspector General Investigator, Certified Inspector General Auditor and CMMC-Registered Practitioner.

AUDIT CHARTER

The Division of Audit updated the Audit Charter to add language around the Inspector General role to address requirements for training and accreditation.

MEET THE TEAM



JOSEPH MALESZEWSKI

VICE PRESIDENT FOR AUDIT/ CHIEF AUDIT EXECUTIVE

**CREDENTIALS: MBA, CIA, CISA,
CGAP, CIG, CIGA, CIGI, CCEP,
CCA, CFE**

Florida State University, 1989, 1991

Joseph (Joe) K. Maleszewski brings his exemplary leadership to FAMU in the role of Vice President for Audit. In this capacity, Mr. Maleszewski presides over a dynamic audit team, orchestrating their efforts to deliver impartial evaluations, assuring quality, and providing consultative insights into the university's operations and financial landscape. His stewardship is dedicated to empowering the Board of Trustees and President Larry Robinson with invaluable perspectives that enhance the university's risk management, control mechanisms, and governance efficacy.

Notably, his professional trajectory has been adorned with significant achievements, including his recent tenure as the City Auditor for the City of Tallahassee. Before ascending to the role of City Auditor, Mr. Maleszewski distinguished himself as the Inspector General and Director of Compliance for the State University System of Florida Board of Governors. With a career spanning more than 31 years in the realm of Florida state governance, he has demonstrated steadfast dedication, occupying pivotal positions within the Offices of Inspector General.

Mr. Maleszewski's professional affiliations exemplify his commitment to excellence. He is an esteemed member of organizations such as the Institute of Internal Auditors, ISACA, the Association of Government Accountants, the Association of Inspectors General, and the Association of Certified Fraud Examiners. His contributions to these organizations are substantial, including his service as the past president and a longstanding board member on the Board of Governors for the Tallahassee Chapter of the Institute of Internal Auditors. Moreover, he has held the role of treasurer for the Florida Chapter of the Association of Inspectors General and has served as an instructor for the national Association of Inspectors General Institute.

Mr. Maleszewski's journey is marked by a profound dedication to elevating standards of excellence within academic and governmental spheres alike. His leadership at FAMU reflects his unwavering commitment to effecting positive change and fostering a culture of accountability and growth.

MEET THE TEAM



DEIDRE MELTON

ASSOC. VICE PRESIDENT FOR AUDIT/ CHIEF RISK OFFICER

**CREDENTIALS: MBA, CFE, CIA,
CISA, CISM, CDPSE, CRISC, CIGI,
CRMA, CIG**

Florida A&M University, 2005, 2005

Deidre Melton is the dynamic Associate Vice President for Audit and Chief Risk Officer at Florida A&M University. With a career spanning over two decades, Deidre's expertise in audit, risk management, and information technology is unparalleled.

In her current role, Deidre provides invaluable daily strategic direction and supervision for audit, advisory, and investigation services at Florida A&M University. Her leadership extends beyond the immediate scope of her position; she serves as a trusted advisor to university leadership and leads the charge in enterprise risk management efforts across the institution.

Deidre's journey to Florida A&M in 2014 was marked by eight impactful years at the State of Florida Auditor General's Office. During this time, she conducted meticulous information technology audits of educational and local/state government entities, solidifying her reputation as a beacon of excellence in the field.

Beyond her pivotal role at the university, Deidre is a stalwart in both the audit and technology professional communities. She holds influential positions on various local, state, and national boards and committees. Deidre's leadership shines as she chairs the IT Audit Group for the State University System of Florida and the Leon County (Florida) Audit Committee. She is also the Vice President for ISACA Tallahassee and occupies a national board position for the Association of College and University Auditors (ACUA).

Deidre Melton is a true trailblazer, dedicated to excellence, innovation, and the pursuit of knowledge in the fields of audit, risk management, and technology. Her contributions continue to shape the landscape of her profession, and her impact on Florida A&M University is immeasurable.

MEET THE TEAM



ARRIET FOSTER

LEAD SENIOR AUDITOR & INVESTIGATOR

**CREDENTIALS: MBA, CIA, CFE,
CIGA, CIGI**

Florida State University, 2008, 2019

Arriet Foster is the dynamic and accomplished Lead Senior Auditor and Lead Investigator. She plays a pivotal role in delivering top-notch audit, advisory, and investigative services to the nation's #1 Public Historically Black College and University (HBCU). Arriet's journey is a testament to her unwavering dedication and expertise in the field of auditing and investigation.

Arriet is not your typical auditor; she is a powerhouse of qualifications and certifications. With an impressive list of credentials that includes the prestigious CIA (Certified Internal Auditor), CFE (Certified Fraud Examiner), CIGA (Certified Inspector General Auditor), and CIGI (Certified Inspector General Investigator) designations, she stands as a true exemplar of excellence in her profession.

Before joining the FAMU Division of Audit, Arriet's career was marked by a series of impactful roles. Her tenure as an auditor for the Florida Office of the Attorney General demonstrated her commitment to upholding the highest standards of accountability and transparency in public service. Her earlier role as an Operations Specialist for the Florida Department of Health showcased her adaptability and versatility in navigating complex government operations.

Arriet's roots run deep in Tallahassee, making her a quintessential local talent. She is a proud alumna of Florida State University, where she honed her skills and cultivated a diverse educational background in English Literature, Psychology, and Business Administration. Her multifaceted education equips her with a unique perspective, allowing her to approach audits and investigations with a holistic understanding of the challenges and opportunities that organizations face.

Beyond her professional achievements, Arriet is a passionate advocate for work-life balance. During her precious downtime, she revels in the joy of spending quality moments with her beloved family and friends. Her life is further enriched by the presence of her energetic and affectionate canine companion, Jeff, who adds a touch of rambunctious charm to her daily routine.

MEET THE TEAM



ERICA THAMES

SENIOR AUDITOR & INVESTIGATOR

CREDENTIALS: MPA,
CIGA, CIGI, CFE

**Florida State
University 1999,
Walden University
2014**

Erica is another shining star of our team. Since she joined us as a Senior Auditor and Investigator in October 2022, our workplace has been positively buzzing with her infectious energy and enthusiasm for excellence.

While Tallahassee has been her stomping ground for over two decades, Erica's roots trace back to sunny Pensacola. Before gracing the illustrious hills of FAMU, she honed her skills as a Management Review Specialist (i.e., Auditor) with the Office of Inspector General at the Department of Children and Families. There, she spent four years performing performance, compliance, and operational audits and even diving into the intriguing world of information technology audits.

Erica has a treasure trove of knowledge and an unwavering commitment to social services and customer service. She was an integral part of the Florida Abuse Hotline at the Department of Children and Families for nearly two decades. Her journey there saw her donning various hats, from Hotline Counselor to Hotline Supervisor, Crime Intelligence Supervisor, Training Supervisor, and Quality Assurance Supervisor. It's safe to say that she's been the backbone of providing an unparalleled customer service experience.



WILLIAM THOMSON

SENIOR IT AUDITOR & INVESTIGATOR

CREDENTIALS: CISA,
CMMC RP, CIGI

**Florida State
University, 2019**

William Thomson is our remarkable Senior IT Auditor and Investigator. He is a Tallahassee native and proud alumnus of Florida State University with a Bachelor of Science in Information Technology. His journey is nothing short of extraordinary, as he's carved a path in the dynamic world of information technology audit and assurance services.

Within this realm, William has cultivated a profound expertise in cybersecurity and compliance. He's worked with a diverse spectrum of clients, delving into intricate frameworks like SSAE 18, SOC 2, HIPAA, HITRUST, and NIST. As he audited and advised, he didn't merely provide guidance; he illuminated the path for organizations to elevate their IT security and compliance posture.

In 2022, William's commitment to excellence led him to become a Certified Information Systems Auditor (CISA). Upon joining our esteemed University, he acquired the esteemed title of Cybersecurity Maturity Model Certification Registered Practitioner (CMMC RP). With a profound passion for innovation, William eagerly anticipates deploying his wealth of knowledge and expertise in future projects and engagements.

His enthusiasm and dedication are a testament to the transformative impact he brings to our team.

MEET THE TEAM



TRYSTAL WRIGHT

**SENIOR AUDITOR
& INVESTIGATOR**

**CREDENTIALS:
CGAP, CIGA**

**Florida A&M
University, 2011**

Trystal Wright is an accomplished Senior Auditor and Investigator. With an impressive professional background spanning over seven years in auditing and over 10 years in analytical and consulting roles, Trystal brings a wealth of experience to the table.

Currently serving as the Editor-in-Chief of FAMU's *The Audit Report* Magazine, Trystal showcases her leadership and communication skills by ensuring the dissemination of valuable insights and best practices within the university community. In addition to her editorial responsibilities, she has also served as a Certification Officer and continues to contribute as a Board member for the Tallahassee Chapter of the Institute for Internal Auditors (TCIIA), exemplifying her commitment to professional development and industry advancement.

Trystal's affiliation with esteemed organizations such as the Association of College & University Auditors (ACUA), the Association of Government Auditors (AGA), the Association of Certified Fraud Examiners (ACFE), and the Institute for Internal Auditors (IIA) highlights her dedication to staying at the forefront of industry standards and networking with fellow professionals.



BILL KNIGHT

**ERM
COORDINATOR**

**CREDENTIALS: CIGA,
COSO ENTERPRISE
RISK MANAGEMENT
CERTIFICATE**

**Florida State
University, 1987**

With over two decades of auditing experience in state government, Bill brings a wealth of knowledge and expertise to his role as the Enterprise Risk Management Coordinator here at FAMU.

Bill's journey began in a laboratory, where he worked as a technician at Engelhard Minerals & Chemicals while putting himself through college. His dedication and hard work eventually led him to various state government departments, including the Office of Insurance Regulation, the Department of Financial Services, and the Department of Revenue.

Joining FAMU in 2018 as an auditor/investigator, Bill became a Senior Auditor and now serves as the ERM Coordinator. Beyond his professional accomplishments, Bill is an active member of his community, having held positions on the boards of several professional and civic organizations.

Bill's story is one of dedication, growth, and community engagement, making him a valued asset to our team and the broader community he serves.

MEET THE TEAM



CRISENCIA BROWN

**AUDITOR
& INVESTIGATOR**

**CREDENTIALS:
MBA, CIGA, CIGI**

**Florida A&M
University,
2017, 2020**

We are thrilled to welcome Crisencia Brown to our team as an Auditor & Investigator since October 2022.

Brown, a native of Tallahassee, Florida, brings a wealth of experience and enthusiasm to our team. Prior to joining us, she served as the Audits/Compliance Analyst for the Office of Financial Aid at Florida State University. During her tenure there, Crisencia displayed exceptional talent in managing and coordinating federal, state, and institutional audit and compliance activities.

Crisencia's journey to excellence is marked by her remarkable achievements. She is a two-time FAMU SBI alumna, and her outstanding abilities were showcased when she was selected as one of the three students to represent FAMU during the prestigious 2019 National Black MBA Association (NBMBAA) Case Competition. Her victory in a case competition among her peers attests to her exceptional problem-solving skills and innovation.

Crisencia's passion, dedication, and proven track record make her a valuable addition to our team. We are excited to have her aboard, and her promising future in our Division is a testament to her potential for greatness.



RASHEEDAT MCKAY

**AUDITOR
& INVESTIGATOR**

**CREDENTIALS:
CIGA**

**Florida
A&M University, 2015**

Rasheedat McKay is a dynamic addition to the Division of Audit, joining our team in March 2023.

Her impressive background and dedication to excellence makes her an invaluable asset.

Prior to her role with us, Rasheedat excelled as the University Box Office Manager, where she showcased her exceptional event coordination skills cultivated during her tenure as a distinguished student leader. Her contributions have already left their mark, playing a pivotal role in orchestrating significant division initiatives, including the campaign for International Internal Audit Awareness Month, our staff retreat, and this year's impactful Annual Report.

Endearingly referred to as "Cookie" by those who have experienced her affable nature, Rasheedat earned her Bachelor's degree in Allied Health Sciences from FAMU in 2015. Her remarkable progression at FAMU reflects her appetite for professional growth. Rasheedat's unwavering commitment to the institution's spirit, represented by the orange and green, resonates deeply as she looks forward to contributing further to our team's success.

GOVERNING STANDARDS

The Division’s activities for audit (assurance), advisory, and investigative services are governed by the following professional standards:

Governing Body	Standards	Division Services
	<p><i>International Professional Practices Framework</i> published by the Institute of Internal Auditors, Inc.</p>	<p>Audit ✓ Advisory ✓ Investigation</p>
	<p><i>Information Technology Assurance Framework</i> published by the Information Systems Audit and Control Association</p>	<p>Audit ✓ Advisory ✓ Investigation</p>
	<p><i>Principles and Standards for Offices of Inspectors General</i> published by the Association of Inspectors General</p>	<p>Audit ✓ Advisory ✓ Investigation ✓</p>
	<p><i>Standards for Complaint Handling for the State University System of Florida</i> published by the State University System of Florida</p>	<p>Audit Advisory Investigation ✓</p>

DIVISION SERVICES

In addition to the core services of audit, advisory, and investigation, the Division conducts management reviews and provides technical assistance.



AUDITS

Compliance, Operational, IT and Financial Related (excluding financial statement audits)



ADVISORY & CONSULTING

We provide a range of activities, including assessing proposed or existing processes, improving strategic and business objectives, analyzing controls, emerging risks, etc.



MANAGEMENT REVIEWS

Evaluations of management processes and systems for performance, continuous improvement, effectiveness, efficiency, and suitability of policies and objectives



TECHNICAL ASSISTANCE

Training (internal controls, fraud, risk), policy and process revisions and development, external audit liaison, business impact analysis, and risk identification



ENTERPRISE RISK MANAGEMENT

We provide meaningful, actionable, timely strategic and performance risk intelligence to our stakeholders to enhance decision making and resource alignment while appropriately balancing risk and opportunity

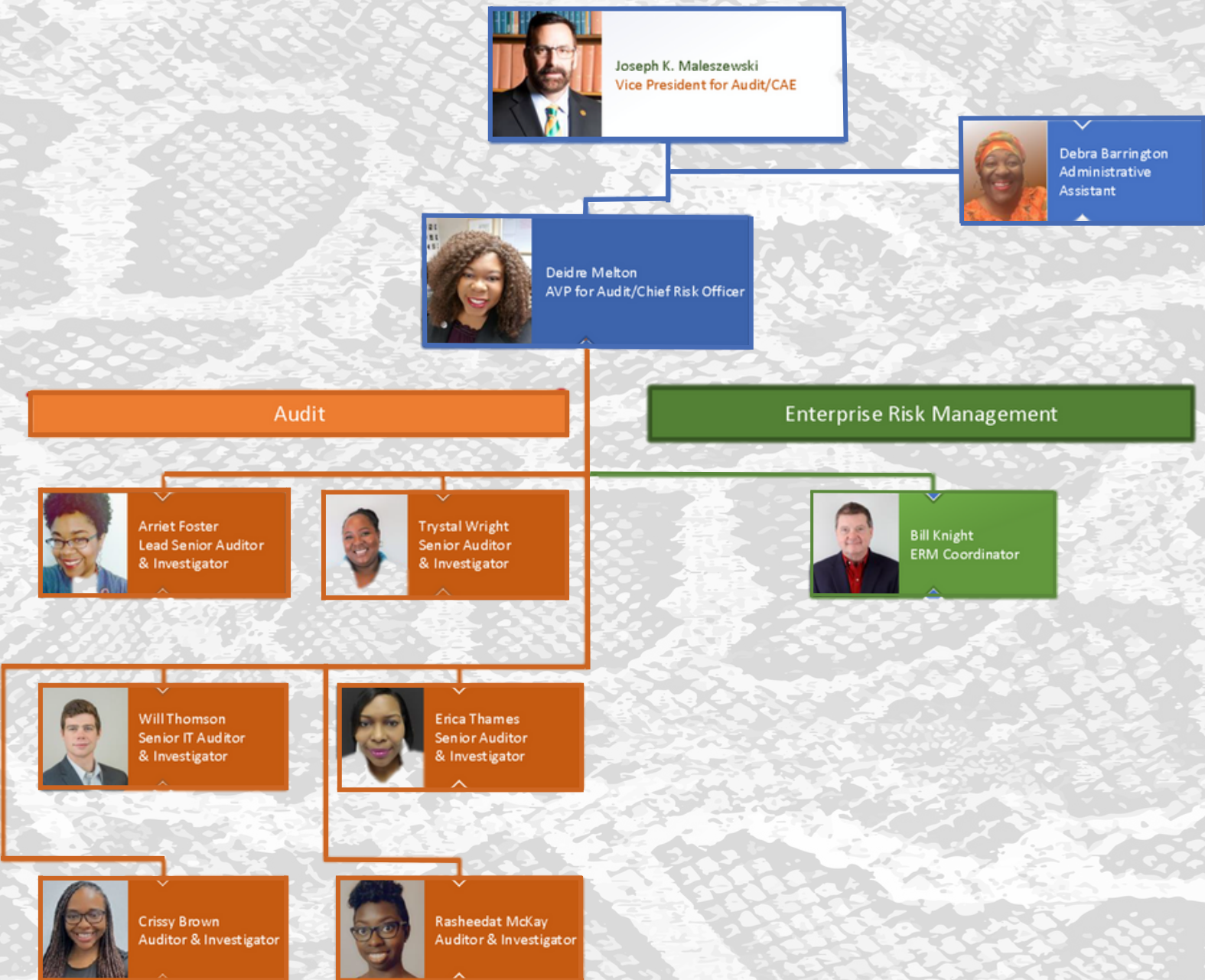


INVESTIGATIONS

Fraud, waste, abuse, and Florida Whistleblower Act

AUDIT SERVICES

During Fiscal Year 2022-2023, the Division of Audit completed three (3) audit projects listed on the following page.



AUDIT SERVICES

1

DAVID MOU

The Division of Audit (DoA) performed an assurance review of the Department of Campus Safety and Security’s procedures related to their use of the Driver and Vehicles Information Database (DAVID) system. This review was conducted to assure compliance with the requirements of HSMV-0158-18, the active Memorandum of Understanding (MOU) that the Department of Campus Safety and Security has in place with the Department of Highway Safety and Motor Vehicles (DHSMV).

Issue Date: Jun. 23, 2023

Report ID: 22-23-0006

2

SECURITY PROGRAM PLAN

The DoA performed an assurance review of FAMU's Security Plan. We evaluated FAMU's Security Plan for compliance with Florida Board of Governor's(BOG) Regulation 3.0075 Security of Data and Related Information Technology Resources, NIST 800-53 Program Management framework, and industry best practices.

Issue Date: Jan. 6, 2023

Report ID: 22-23-0004

3

PERFORMANCE BASED FUNDING DATA INTEGRITY

We verified the data submitted for Fall 2021, Spring 2022, and Summer 2022 academic semesters that supports the University’s performance-based funding metrics 1, 2, 3, and 7; evaluated the University’s processes for completeness, accuracy, and timeliness of performance-based funding data submissions; and reviewed other University actions that impact the University’s Data Integrity Certification required by the Florida Board of Governors (FLBOG).

Issue Date: Jan. 30, 2023

Report ID: 21-23-0005



[VIEW FULL REPORT](#)

AUDIT FOLLOW UP

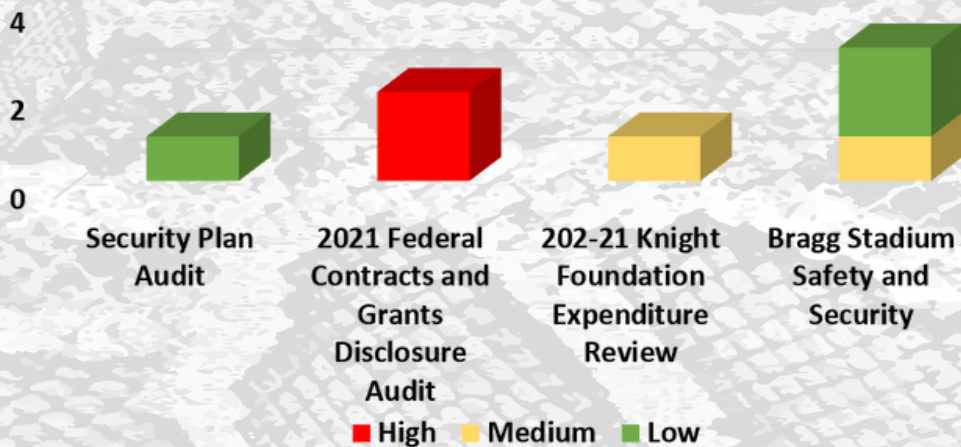
Audit Follow-ups are completed for any outstanding audit findings disclosed in audit services and may be completed for other engagements, such as risk assessments. Audit follow-up reports are provided to the Board of Trustees Audit and Compliance Committee, the President, and the Senior Leadership Team on the status of implementation of corrective actions.

The following audits were performed in FY 2022-2023:

11 Open Audit Issues



5 Closed Audit Issues

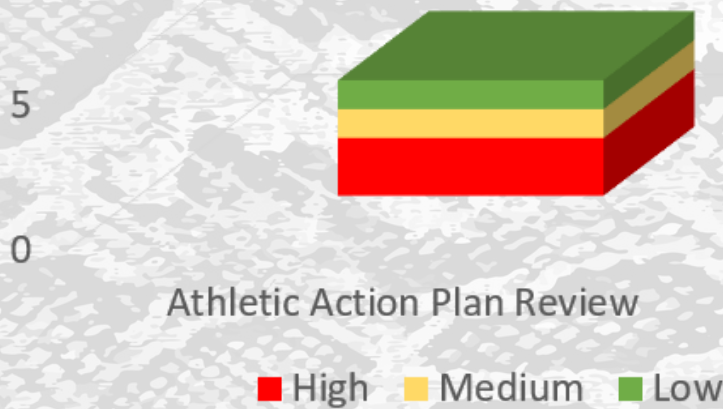


EXTERNAL AUDIT ACTIVITIES

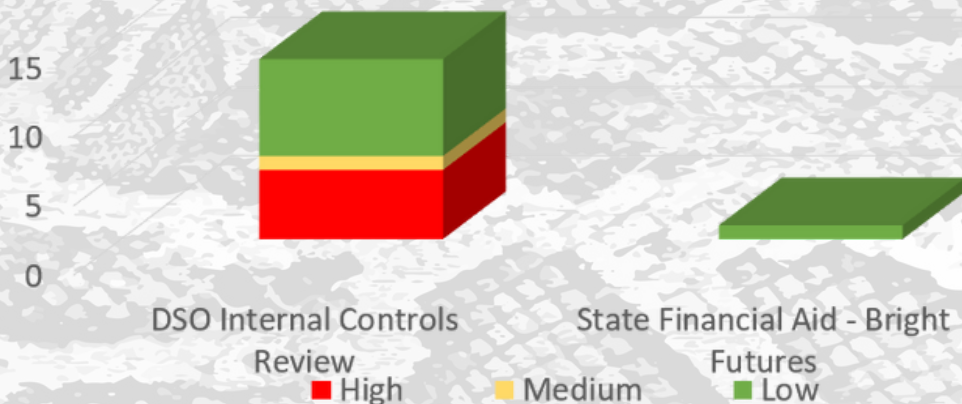
The external audit provides important and valuable insight regarding the policies, processes and internal controls that exist within the University. The external audit report reinforces the credibility of the University's financial statements, general financial health, and its operational processes.

Here are the current external audits for FY 2022-2023:

4 Open Audit Issues



14 Closed Audit Issues



ADVISORY SERVICES



Title IX Control Self-Assessment

Issue Date: Oct. 17, 2022
Report ID: 22-23-0002

The FAMU Division of Audit (DoA) facilitated a maturity self-assessment of the Office of Equal Opportunity Programs/Title IX's selected business processes, risk management activities, and control procedures based on management and staff-generated analyses.

 [VIEW FULL REPORT](#)



Office of Procurement Services

Issue Date: Sept. 27, 2022
Report ID: 22-23-0001

The Division of Audit (DoA) performed this consulting service for the Office of Procurement Services. The overarching goal of this consulting project was to identify opportunities for enhancements to the Office of Procurement Services' processes, policies, and procedures, to help achieve University goals more effectively.

 [VIEW FULL REPORT](#)

ADVISORY SERVICES



Athletic Action Plan Implementation

Issue Date: Oct. 17, 2022
Report ID: 22-23-0002

The Division of Audit provided advisory services to management in the development of the Athletic Action Plan. The Athletic Action Plan was designed to improve athletic program academic, financial, and operational processes to enhance student-athlete success. Further advisory services were provided throughout the year including monitoring of milestones and assessment of outcomes as strategies were implemented.

 [VIEW FULL REPORT](#)



2023 Internal Controls Assurance Statement

Issue Date: Sept. 27, 2022
Report ID: 22-23-0001

In collaboration with President Larry Robinson, PhD, the Division of Audit facilitated the development of his 2023 Internal Controls Assurance Statement. DoA worked with management to assess internal controls and advise the President on the overall operational effectiveness and efficiency of FAMU's internal controls structure. The statement highlights both strengths and opportunities for improvement.

 [VIEW FULL REPORT](#)

INVESTIGATIONS

The Division of Audit performs thorough investigations into allegations of fraud, waste, abuse, and whistleblower disclosures in alignment with the Standards for Complaint Handling for the State University System of Florida published by the Florida Board of Governors.

Over the past year, our dedicated team has actively addressed 27 cases falling within these categories, reflecting our unwavering commitment to maintaining the highest standards of integrity and accountability within the university. Among these cases, 12 are currently in the process of investigation, highlighting our proactive approach in addressing potential issues. Additionally, 6 cases were referred for further action, demonstrating our commitment to thorough scrutiny. Notably, 8 cases have been successfully closed, showcasing our ability to bring resolution to matters of concern. These statistics underscore our unwavering dedication to safeguarding the university's resources, reputation, and ethical principles.



WHAT OUR CUSTOMERS ARE SAYING

“



William E. Hudson, Jr, Ph.D.
Vice President,
Division of Student Affairs

The Division of Audit is a reliable resource that helps the University take an intrinsic look at our business processes to improve our service excellence for faculty, staff , and particularly, our students. I personally have utilized their services for ongoing evaluations of the Division of Student Affairs and will continue to do so as we are constantly trying to improve.

”

“

Working with the Division of Audit has always been a delightful experience. The team is extremely professional and transparent in their processes. Their meticulous audits provide us with valuable insights to effectively address any potential issues, ensuring seamless operations for our university.



Nichole Murry,
Chief Budget Officer,
University Budget Office

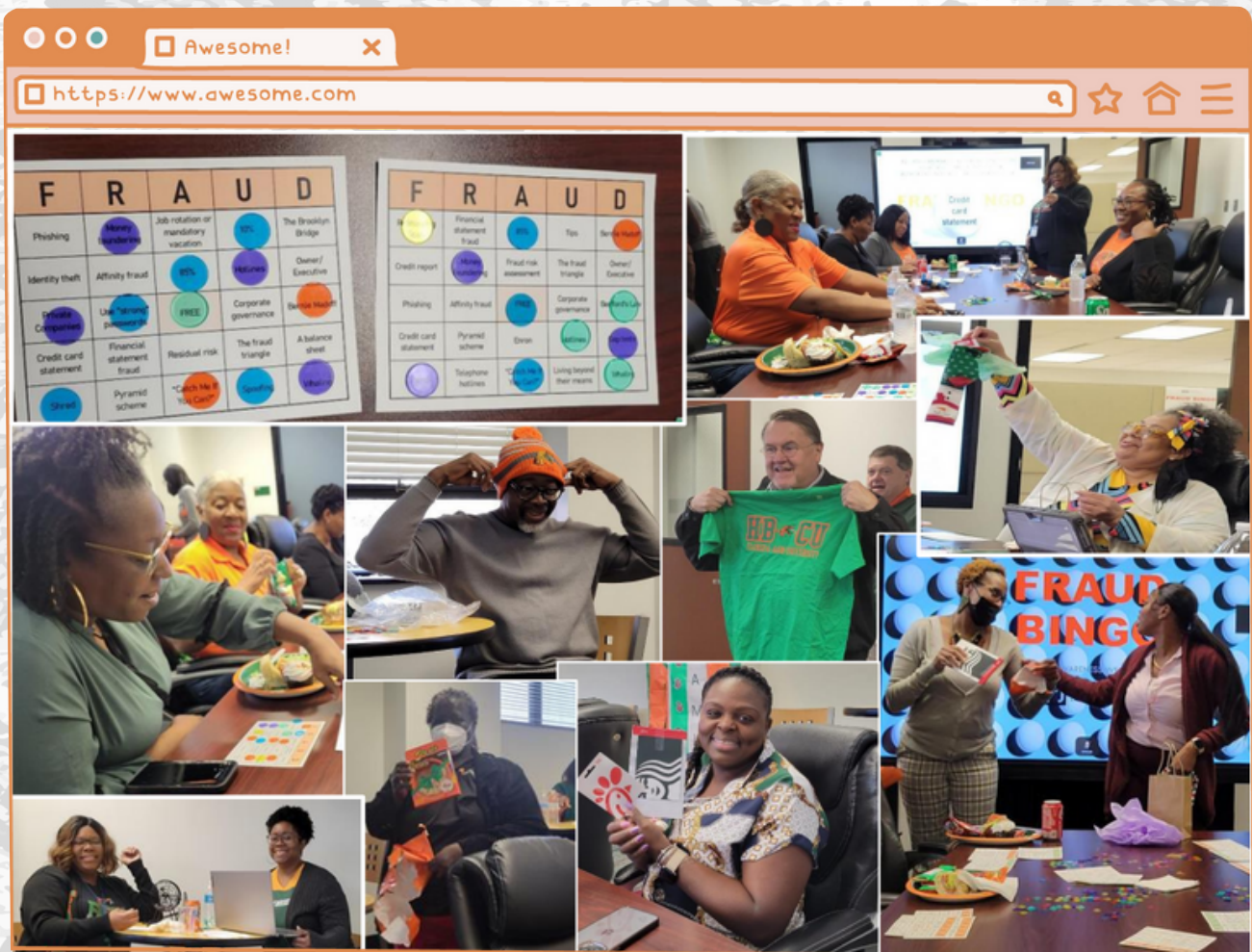
”

TRAINING & AWARENESS

FRAUD AWARENESS WEEK

Our Division promoted Fraud Awareness Week 2022 from November 14 - 18 on campus. By serving as an official supporter, we joined hundreds of organizations who partnered with the Association of Certified Fraud Examiners -- the world's largest anti-fraud organization and premier provider of anti-fraud training and education.

Fraud Awareness Week champions the need to proactively fight fraud, and promote anti-fraud awareness, education.



TRAINING & AWARENESS

HBCU RISK MANAGEMENT CONFERENCE



From June 1 - 3, the ERM team participated in the Second Annual HBCU Risk Conference held on the vibrant campus of Texas Southern University. This distinctive conference was all about delving into the specific challenges that HBCUs tackle in compliance, insurance, Title IX, and Risk Management.

TRAINING & AWARENESS

POWER SKILLS LABS

Public Speaking

10-28-2022

In October and November, the Division of Audit hosted a two-part Power Skills Lab: Public Speaking where staff learned the fundamentals of giving engaging and informative presentations during part one and presenting in front of their peers for constructive feedback during part two.

Both sessions were led by our guest instructor, Rob Clark, Chief Audit and Compliance Officer at Howard University.

Control Self-Assessment

01-12-2023

On January 12, 2023, Division of Audit hosted our first Power Skills Lab for 2023 on Control Self-Assessments. This Power Skills Lab was led by Tharaneer Ravindran, Director of Internal Audit, for the University of Alabama System.

She shared a tremendous amount of information with the audit staff and management that will allow us to enhance our control self-assessment process.

Transforming Internal Audit through Tech

04-26-2023

Phillip Hurd, Chief Audit and Compliance Executive for the University of Houston, facilitated our April 2023 Power Skill Lab.

Hurd spoke to us about the many uses of recent technological developments and how he is transforming his internal audit shop through Robotic Process Automation, Machine Learning, and Artificial Intelligence like ChatGPT.

TRAINING & AWARENESS

INTERNATIONAL INTERNAL AUDIT AWARENESS MONTH


DoA celebrated International Internal Audit Awareness Month (May 2023) by hosting two major events:

- *From Risk to Control: An HBCU Virtual Town Hall;*
- *FAMULY Feud Tournament; and*
- *We also sent out Internal Audit facts and tips via FAMUINFO throughout the month.*

BE AUDIT YOU CAN BE!
INTERNAL AUDIT
AWARENESS MONTH 2023

KEEP ACCURATE AND UP-TO-DATE RECORDS:

One of the most important things you can do is keep accurate and up-to-date records. This will not only help you in an audit, but it will also help your operations run more efficiently.



DEIDRE MELTON,
AVP FOR AUDIT/
CHIEF RISK OFFICER

FAMU FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

BE AUDIT YOU CAN BE!



INTERNAL AUDIT AWARENESS MONTH

BE AUDIT YOU CAN BE!
INTERNAL AUDIT
AWARENESS MONTH 2023

THE FIRST RECORDED AUDIT WAS 4,000 YEARS AGO

IN ANCIENT EGYPT... AUDITORS WERE APPOINTED TO CHECK THE AMOUNT OF GRAIN STORED IN GRANARIES




JOE MALESZEWSKI,
VP FOR AUDIT/
CHIEF AUDIT EXECUTIVE

FAMU FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

BE AUDIT YOU CAN BE!
INTERNAL AUDIT
AWARENESS MONTH 2023

THE TERM "AUDIT" COMES FROM THE LATIN WORD "AUDIRE" OR "TO HEAR"

PUBLIC OFFICIALS IN ROME WOULD "HEAR" FINANCIAL RECORDS READ ALOUD AS PART OF THEIR AUDITS



TRYSAL WRIGHT,
SENIOR AUDITOR &
INVESTIGATOR

FAMU FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

CALENDAR OF EVENTS

MAY 17

HBCU VIRTUAL TOWNHALL
2 PM - 4 PM
→ [REGISTER HERE](#)

MAY 19

FAMILY FEUD TOURNAMENT
12 PM - 4 PM
GAITHER GYM
↓
[REGISTER YOUR TEAM HERE](#)

FAMU FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

BE AUDIT YOU CAN BE!
INTERNAL AUDIT
AWARENESS MONTH 2023

BE PROACTIVE

Don't wait for an audit to identify issues or areas of improvement. Be proactive in addressing potential risks and identifying opportunities to improve.



ARRIET FOSTER,
LEAD SENIOR AUDITOR
& INVESTIGATOR

FAMU FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

TRAINING & AWARENESS

INTERNATIONAL INTERNAL AUDIT AWARENESS MONTH



FLORIDA A&M UNIVERSITY
DIVISION OF AUDIT

presents

HBCU VIRTUAL TOWNHALL



From Risk to Control



A proactive approach to mitigating risks
and enhancing institutional performance



May 17, 2023



[Register Now!](#)



2 PM - 4 PM EST



**Penny M. Moses,
JD, LL.M.**

Chief Operating Officer
Grambling State University



**Rachel Davis,
MBA, CFE**

Director of Internal Audit
Grambling State University



**Maurice Edington,
Ph.D.**

Chief Operating Officer
Florida A&M University



Joseph Maleszewski,

MBA, CIA, CISA, CGAP, CIG,
CIGA, CIGI, CCEP, CCA, CFE
Vice President for Audit
Florida A&M University

Moderator: Javonni Hampton

***Our second annual HBCU Townhall was entitled:
From Risk to Control. The town hall featured the Chief
Audit Executives and Chief Operating Officers from
FAMU and Grambling State University.***

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FAMILY FEUD TOURNAMENT

We invited departments to participate in a FAMUly Feud Tournament! Teams of five went head to head answering trivia questions about every day life as well as audit related topics.



**Our
scorekeeper,
Erica Thames**



**The teams that made
it to the final round:
the OCE Family and
SPAIE Family**

TRAINING & AWARENESS

INTERNATIONAL INTERNAL AUDIT AWARENESS MONTH

**FAMILY FEUD
TOURNAMENT
WINNER:
THE SPAIE
FAMILY!**



2023-2024 AUDIT WORK PLAN

Looking ahead into FY 2023-2024:



Board of Trustees (Mandatory)

- Athletics Financial Review - Fall 2023
- Athletics Financial Review - Spring 2023



Florida Board of Governors (Mandatory)

- Performance-based Funding Data Integrity Audit (Strategic Priority 4)
- Foreign Influence Audit (Strategic Priority 2)
- Post-Tenure Review Audit (Strategic Priority 2)



Operational

- Property Management Audit (Strategic Priority 5)
- Campus Safety & Security (Strategic Priority 5)



Academic Affairs Advisory Services

- Colleges & Schools: Performance Based Funding (Strategic Priority 4)
- Colleges & Schools: National Rankings (Strategic Priority 1 - 2)
- Colleges & Schools: Strategic Plan (Strategic Priorities 1 - 5)
- Colleges & Schools: Operations (Strategic Priority 5)



IT/Cybersecurity

- Cybersecurity Maturity Model Certification Level 1 Self- Assessment (Strategic Priority 2)
- Gramm-Leach Bliley Act Audit (Strategic Priority 5)



FAMU Committees

- Athletic Action Plan Implementation Team and Workgroup (Strategic Priority 5)
- Metrics and Rankings Workgroup (Strategic Priorities 1 - 5)
- Civil Discourse Committee (Strategic Priority 1 - 2)



Training and Awareness

- Fraud Awareness Week (Strategic Priority 5)
- Internal Audit Awareness Month (Strategic Priority 5)
- FAMU Fundamentals (Strategic Priority 5)
- FAMU Management Seminar (Strategic Priority 5)



DoA Services

- External Audit Coordination
- Investigative Services
- Management Requests
- Audit, Advisory, & Investigative Follow-up

2023-2024 ERM WORK PLAN

What to look forward to from Enterprise Risk Management:



ERM Purpose and Scope

- ERM Policy Review
- Risk Appetite Statement Review
- Strategic Plan Risk Assessment
- Risk Based Budget Tools



ERM Centralization and Accountability

- ERMAC: Strategic and Performance
- ERMAC: Operational Risk Managers (Cohort 1)
- ERMAC: Operational Risk Managers (Cohort 2)



ERM Process

- Risk Workshops
- Risk and Controls Certification Program
- University Risk Assessment
- Risk Mitigation
- Risk Alerts - Emerging Risks
- Risk Bulletins



Campus Risk Management Culture

- ERM Awareness Week
- ERM On-Demand Training Courses
- Risk Champion Program
- ERM Newsletter and Infographics
- Risk Culture Survey



Special Projects

- Crisis Management Toolkit
- Cybersecurity Toolkit
- Athletics Risk Toolkit
- Artificial Intelligence Risk Toolkit
- ERM Standard Operating Procedures

THANK YOU!



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*"At FAMU, Great Things are
Happening Every Day!"*